**APPLICATION CHECKLIST**

**AUCKLAND UNITARY PLAN – Operative in Part**

**RESIDENTIAL ZONE CHECKLIST**

|  |  |
| --- | --- |
| **Site Address** |  |
| **Applicant** |  | **Date** |  |
| **Proposal** |  |
| **Site Area (gross & net)** | Refer to definition of net site area in Chapter J1 |
| **Record of Title (ROT)** |
| Supplied | [ ]  Yes | [ ]  No | Less than 3 months old? |
| Easements | [ ]  Yes | [ ]  No |  |
| Building Line Restriction | [ ]  Yes | [ ]  No | Council will treat BLR as front boundary until removed from ROT |
| Consent notice | [ ]  Yes | [ ]  No |  |
| Covenant | [ ]  Yes | [ ]  No |  |
| Encumbrance | [ ]  Yes | [ ]  No |  |
| Limited as to parcels | [ ]  Yes | [ ]  No | Has a surveyor provided written confirmation that the boundary lines are accurate and the coverage calculations appropriate? |
| **Auckland Unitary Plan (Operative in part) (AUP(OP))** |
| Zoning |  |
| Precinct |  |
| Overlays |  |
| Controls | Check if arterial road on [planning maps](https://unitaryplanmaps.aucklandcouncil.govt.nz/upviewer/). Use the query tool on the road reserve. |
| Designations / NORs |  |
| Modifications |  |
| [Relevant Plan Changes](https://www.aucklandcouncil.govt.nz/plans-projects-policies-reports-bylaws/our-plans-strategies/unitary-plan/auckland-unitary-plan-modifications/proposed-plan-changes/Pages/default.aspx) |  |
| **Intensification Planning Instrument (IPI) Plan Change*****Note****: This checklist only includes reference to those standards which have Immediate Legal Effect or are Operative.**Please refer to the Practice and Guidance Note on the Intensification Planning Instrument (IPI) Plan Change (RC3.2.32) available via the* [*Auckland Design Manual*](https://www.aucklanddesignmanual.co.nz/regulations/practice-notes) |
| Zoning |  |
| Overlays |  |
| Controls |  |
| **Site Characteristics** |
| Walkable Catchment | [ ]  Yes | [ ]  No |  |
| Flood plain | [ ]  Yes | [ ]  No | Refer to [GeoMaps](https://geomapspublic.aucklandcouncil.govt.nz/viewer/index.html) and Chapter J1 definitionIf yes, then has a flood report and hazard risk assessment been prepared? |
| Overland flow path | [ ]  Yes | [ ]  No | Refer to [GeoMaps](https://geomapspublic.aucklandcouncil.govt.nz/viewer/index.html) and Chapter J1 definitionIf yes, then has a flood report and hazard risk assessment prepared? |
| Stream | [ ]  Yes | [ ]  No | Refer to [GeoMaps](https://geomapspublic.aucklandcouncil.govt.nz/viewer/index.html) and Chapter J1 definitionIf in doubt, Council will do a site visitIf yes, then riparian yard and vegetation protection (E15) applies.Esplanade reserve may be required if subdivision proposed. |
| Coastal erosion | [ ]  Yes | [ ]  No | Refer to Chapter J1 definition |
| Land which may be subject to instability | [ ]  Yes | [ ]  No | Refer to [GeoMaps](https://geomapspublic.aucklandcouncil.govt.nz/viewer/index.html) and Chapter J1 definitionIf yes, then has a geotechnical report and hazard risk assessment prepared? |
| Geology | [ ]  Yes | [ ]  No | Refer to [GeoMaps](https://geomapspublic.aucklandcouncil.govt.nz/viewer/index.html) and Chapter J1 definitionAre the ground conditions on basalt rock?If yes and cuts are involved, then has a geotech report been prepared identifying ground conditions and rock depth? |
| Contamination | [ ]  Yes | [ ]  No | Refer to [GeoMaps](https://geomapspublic.aucklandcouncil.govt.nz/viewer/index.html), property file, and do a site visit, noting adjoining uses that may trigger provisions.[NESCS](http://www.legislation.govt.nz/regulation/public/2011/0361/latest/DLM4052228.html) and AUP provisions will apply |
| Statutory acknowledgment area | [ ]  Yes | [ ]  No | Refer to [GeoMaps](https://geomapspublic.aucklandcouncil.govt.nz/viewer/index.html) (Tangata Whenua > Treaty Settlements > Statutory acknowledgements) |

**SECTION 88 CHECK**

| **Application requirements** | **Provided?** | **Comments** |
| --- | --- | --- |
| [Application form](https://www.aucklandcouncil.govt.nz/building-and-consents/Documents/forma-application-resource-consent.pdf) – completed and signed | [ ]  Yes | [ ]  No |  |
| If the application is [under s127](http://www.legislation.govt.nz/act/public/1991/0069/latest/link.aspx?id=DLM235220), is the applicant the consent holder, or do they have written authority from consent holder? | [ ]  Yes | [x]  No |  |
| Record of Title and associated documentation – refer to ROT section above | [ ]  Yes | [ ]  No |  |
| Evidence of notification to claimants under the [Marine and Coastal Area (Takutai Moana) Act 2011](http://www.legislation.govt.nz/act/public/2011/0003/latest/DLM3213131.html) | [ ]  Yes | [ ]  No | Required if any part of proposal is within coastal marine area |
| Are any additional resource consents under [s87](http://www.legislation.govt.nz/act/public/1991/0069/latest/link.aspx?id=DLM233848) of the RMA required? | [ ]  Yes | [ ]  No | If so, and Council requires these consents to be applied for and considered together with this application, they will request under [s91](http://www.legislation.govt.nz/act/public/1991/0069/latest/link.aspx?id=DLM233881) |
| Description of site | [ ]  Yes | [ ]  No |  |
| Description of proposal | [ ]  Yes | [ ]  No |  |
| All reasons for consent identified | [ ]  Yes | [ ]  No |  |
| Compliance demonstrated where certain aspects have been claimed as permitted | [ ]  Yes | [ ]  No | Is this demonstrated on drawings and AEE E.g compliance with noise standards |
| Assessment of effects (including any affected persons) | [ ]  Yes | [ ]  No |  |
| Written approvals of affected persons (if obtained) | [ ]  Yes | [ ]  No | Are written approvals in the [prescribed form](http://legislation.govt.nz/regulation/public/2003/0153/latest/DLM3400701.html)? |
| [s104(1)(b)](http://www.legislation.govt.nz/act/public/1991/0069/latest/DLM234355.html) assessment – have relevant matters of discretion, assessment criteria, objectives and policies (including special information requirements) been addressed? | [ ]  Yes | [ ]  No |  |
| Cultural effects assessment and/or evidence of engagement with mana whenua | [ ]  Yes | [ ]  No | Is engagement required? |
| Assessment against New Zealand Coastal Policy Statement ([NZCPS](http://www.doc.govt.nz/about-us/science-publications/conservation-publications/marine-and-coastal/new-zealand-coastal-policy-statement/)) (if relevant) | [ ]  Yes | [ ]  No |  |
| Have you checked [Schedule 4](http://www.legislation.govt.nz/act/public/1991/0069/latest/link.aspx?id=DLM242008) of the RMA and confirmed that all other relevant required information has been provided? | [ ]  Yes | [ ]  No |  |
| **Assessment of Environmental Effects (AEE)** |
| Requirements of Clauses 6 of Schedule 4 of the RMA | [ ]  Yes | [ ]  No |  |
| Any effect on those in the neighbourhood and, where relevant, the wider community, including any social, economic, or cultural effects: | [ ]  Yes | [ ]  No |  |
| Any physical effect on the locality, including any landscape and visual effects: | [ ]  Yes | [ ]  No |  |
| Any effect on ecosystems, including effects on plants or animals and any physical disturbance of habitats in the vicinity: | [ ]  Yes | [ ]  No |  |
| Any effect on natural and physical resources having aesthetic, recreational, scientific, historical, spiritual, or cultural value, or other special value, for present or future generations: | [ ]  Yes | [ ]  No |  |
| Any discharge of contaminants into the environment, including any unreasonable emission of noise, and options for the treatment and disposal of contaminants: | [ ]  Yes | [ ]  No |  |
| Any risk to the neighbourhood, the wider community, or the environment through natural hazards or hazardous installations. | [ ]  Yes | [ ]  No |  |
| **Plans and drawings** |
| Scaled plans | [ ]  Yes | [ ]  No |  |
| Existing site plan / topographical plan | [ ]  Yes | [ ]  No | Should show road reserve, berm, street trees, and building outlines for adjoining sites |
| Proposed site plan, floor plans, elevations, etc. | [ ]  Yes | [ ]  No | Have planning controls and extent of infringements been shown?Have HIRTB infringements been highlighted and quantified on elevations? |
| Earthworks plan | [ ]  Yes | [ ]  No | Have cut and fill areas shown and quantified?Have retaining walls and heights been shown? |
| Drainage plan | [ ]  Yes | [ ]  No | Only required if matters of discretion refer to drainage |
| Scheme plan showing:* New lot boundaries
* Gross and net site areas
* Proposed and existing easements
* Memorandum of Easements table
* Building platforms indicated
* Staging details / balance lot(s) (if applicable)
* Streams and Esplanade reserves/strips (if applicable)
 | [ ]  Yes | [ ]  No | Only required if subdivision is proposed |
| **Reports** |
| Relevant reports provided based on-site characteristics – refer to page 1 | [ ]  Yes | [ ]  No |  |
| Infrastructure Report | [ ]  Yes | [ ]  No | Required when matters of discretion include drainage capacitye.g. 4+ dwellings, or dwellings in THAB zone |

**WRITTEN APPROVALS (if obtained)**

|  |
| --- |
| Written approvals of affected parties must be on the [Council form](https://www.aucklandcouncil.govt.nz/building-and-consents/understanding-building-consents-process/prepare-application/prepare-resource-consent-application/Pages/written-approval-affected-persons.aspx) (or the [prescribed form](http://legislation.govt.nz/regulation/public/2003/0153/latest/DLM3400701.html)). Council requires that plans must be signed.Approvals must include name, address, signature and date. Approvals must include signed and dated copies of the plans by the affected persons.If Trust or Company, check that the person signing has delegation on behalf of.Council will put the application on hold under s88E of the RME if the applicant is still seeking written approvals |
| **Address** | **Name** | **Owner / Occupier?** | **In accordance with the** [**prescribed form**](http://legislation.govt.nz/regulation/public/2003/0153/latest/DLM3400701.html)**?** |
|  |  |  |  |

**IWI ENGAGEMENT (if required)**

|  |  |  |  |
| --- | --- | --- | --- |
| **Iwi Name** | **Response** | **Date of Referral** | **Date of Response** |
| Use this table if mana whenua engagement required under AUP (OP) assessment, noting any objectives or policies that apply to your application that refer to consideration of cultural values. |  |  |  |

**RESOURCE CONSENTS ON THE SUBJECT SITE OR OTHER SITES (RECEIVING ENVIRONMENT)**

|  |  |  |  |
| --- | --- | --- | --- |
| **Address** | **Proposal** | **Consent Number(s)** | **Date Approved** |
| List any applicable consents that form part of the receiving environment, whether implemented or otherwise |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

**RESIDENTIAL ZONES (H1-H6) *If a row is not relevant, insert N/A into comments section.***

| **Activity or standard checked** | **Complies** | **Infringement** | **Activity Status**  | **Comments** |
| --- | --- | --- | --- | --- |
| **Activity Table** |
| Use  | [ ]  | [ ]  |  | If in the High Noise Area, see [Practice and Guidance Note](http://content.aucklanddesignmanual.co.nz/regulations/practice-notes/Documents/RC%203.3.6%20New%20Dwellings%20in%20the%20High%20Aircraft%20Noise%20Area%20%28external%29.pdf) |
| Development | [ ]  | [ ]  |  |  |
| **Standards** |
| Home occupations | [ ]  | [ ]  |  |  |
| The conversion of a principal dwelling existing as at 30 September 2013 into a maximum of two dwellings | [ ]  | [ ]  |  |  |
| Offices within the Centre Fringe Office Control as identified on the planning maps | [ ]  | [ ]  |  |  |
| Number of dwellings per site  | [ ]  | [ ]  |  | Please refer to the Practice and Guidance Note on the Intensification Planning Instrument (IPI) Plan Change (RC3.2.32) available via the [Auckland Design Manual](https://www.aucklanddesignmanual.co.nz/regulations/practice-notes)  |
| Minor dwellings | [ ]  | [ ]  |  |  |
| Dwellings within the Infrastructure – Combined Wastewater Network Control as identified on the planning maps*Qualifying Matter per s77I(j) RMA* | [ ]  | [ ]  |  |  |
| Dwellings within the Infrastructure – Stormwater Disposal Constraints Control | [ ]  | [ ]  |  |  |
| Dwellings within the Infrastructure – Residential Single Dwelling and Subdivision Stormwater Disposal Constraints Control*Qualifying Matter per s77I(j) RMA* | [ ]  | [ ]  |  |  |
| Building height  | [ ]  | [ ]  |  |  |
| Height in relation to boundary | [ ]  | [ ]  |  |  |
| Alternative height in relation to boundary | [ ]  | [ ]  |  |  |
| Height in relation to boundary adjoining lower intensity zones | [ ]  | [ ]  |  |  |
| Yards | Front | [ ]  | [ ]  |  | Any retaining walls within 1.5m of road boundary? |
| Side | [ ]  | [ ]  |  | Any retaining walls over 1.5m high within yard setback?Any retaining walls within 1.5m of public place? |
| Rear | [ ]  | [ ]  |  | Any retaining walls over 1.5m high within yard setback?Any retaining walls within 1.5m of public place? |
| Riparian | [ ]  | [ ]  |  | If infringed, then preparation of an ecology report likely required |
| Lakeside | [ ]  | [ ]  |  | If infringed, then preparation of an ecology report likely required |
| Coastal Protection | [ ]  | [ ]  |  | If infringed, then preparation of an ecology report likely required |
| Maximum impervious area  | [ ]  | [ ]  |  | Refer to Chapter J1 definition for inclusions and exclusions |
| Impervious area within riparian, lakeside or coastal protection yard | [ ]  | [ ]  |  |  |
| Building coverage | [ ]  | [ ]  |  | Refer to Chapter J1 definition for inclusions and exclusions |
| Landscaped area | [ ]  | [ ]  |  | Refer to Chapter J1 definition for inclusions and exclusions |
| Front yard landscaping | [ ]  | [ ]  |  |  |
| Outlook space  | [ ]  | [ ]  |  |  |
| Daylight  | [ ]  | [ ]  |  | Does not apply if only one building on the subject site |
| Outdoor living space  | [ ]  | [ ]  |  | Size, dimensions and gradientIs outdoor living space to the south of a building? |
| Front, side and rear fences and walls | [ ]  | [ ]  |  | Remember that this standard applies to yards and not to boundaries |
| Minimum dwelling size | [ ]  | [ ]  |  |  |
| Rainwater tanks | [ ]  | [ ]  |  |  |
| Windows to street | [ ]  | [ ]  |  |  |

**SUBDIVISION – URBAN (E38) *If a row is not relevant, insert N/A into comments section. If no subdivision proposed, delete this entire section. See*** [***practice and guidance note***](https://www.aucklanddesignmanual.co.nz/regulations/practice-notes) ***and*** [***standard conditions***](https://www.aucklanddesignmanual.co.nz/regulations/consent-conditions-manual)***.***

| **Activity or standard checked** | **Complies** | **Infringement** | **Activity Status**  | **Comments** |
| --- | --- | --- | --- | --- |
| **Activity** |
| Activity tables | [ ]  | [ ]  |  |  |
| **Standards** |
| ***General*** |
| Site size and shape | [ ]  | [ ]  |  |  |
| Access and entrance strip | [ ]  | [ ]  |  |  |
| Services | [ ]  | [ ]  |  |  |
| Staging | [ ]  | [ ]  |  |  |
| Overland flow paths | [ ]  | [ ]  |  |  |
| Existing vegetation on the site | [ ]  | [ ]  |  |  |
| ***Specific purposes*** |
| Lease in excess of 35 years of a building or part of a building where a cross lease, company lease or unit title subdivision is not involved | [ ]  | [ ]  |  |  |
| Subdivision for a network utility | [ ]  | [ ]  |  |  |
| Boundary adjustments which do not exceed 10 per cent of the net site area of each site | [ ]  | [ ]  |  |  |
| Conversion of a cross-lease to a fee simple title | [ ]  | [ ]  |  |  |
| Cross lease, company lease, unit title and strata-title subdivision; and Amendments to a cross lease or unit title, including additions and alterations to buildings, accessory buildings and areas for exclusive use by an owner or owners | [ ]  | [ ]  |  |  |
| Subdivision of a site with two or more zones or subdivision along an undefined zone boundary | [ ]  | [ ]  |  |  |
| Subdivision establishing an esplanade reserve | [ ]  | [ ]  |  |  |
| Subdivision of a site within the one per cent annual exceedance probability floodplain | [ ]  | [ ]  |  |  |
| Subdivision of land which may be subject to coastal hazards | [ ]  | [ ]  |  |  |
| ***Residential zone subdivision*** |
| Site shape factor in residential zones | [ ]  | [ ]  |  |  |
| Access to rear sites | [ ]  | [ ]  |  |  |
| Subdivision in accordance with an approved land use resource consent | [ ]  | [ ]  |  |  |
| Subdivision around existing buildings and development | [ ]  | [ ]  |  |  |
| Vacant sites subdivisions involving parent sites of less than 1 hectare | [ ]  | [ ]  |  |  |
| Subdivision of sites identified in the Subdivision Variation Control | [ ]  | [ ]  |  |  |
| Subdivision involving indigenous vegetation scheduled in the Significant Ecological Areas Overlay | [ ]  | [ ]  |  |  |
| Subdivision of sites identified in the Special Character Areas Overlay – Residential and Business | [ ]  | [ ]  |  |  |
| Vacant sites subdivision involving parent sites of 1 hectare or greater | [ ]  | [ ]  |  |  |
| Subdivision of sites in areas identified on the planning maps as being subject to the Infrastructure – Residential Single Dwelling and Subdivision Stormwater Disposal Constraints Control. | [ ]  | [ ]  |  |  |
| E38.8.2.8. Subdivision of sites in areas identified on the planning maps as being subject to the Infrastructure – Combined Wastewater Network Control or the Infrastructure – Water and Wastewater Constraints Control. | [ ]  | [ ]  |  |  |

**CHAPTER D OVERLAYS *If a row is not relevant, insert N/A into comments section***

***Expand sections as required. Frequently used sections such as D13, D14, D17, D18, D26 have already been expanded below. If there is an overlay that applies to your site that is not shown, please add.***

| **Overlay checked** | **Complies** | **Infringement** | **Activity Status** | **Comments** |
| --- | --- | --- | --- | --- |
| **Natural Resources** |
| D1 – D9  | N/A | N/A | N/A | Contains objectives and policies onlyHave these been assessed in AEE where relevant? |
| **Natural Heritage** |
| D10 Outstanding Natural Features Overlay and Outstanding Natural Landscapes Overlay | [ ]  | [ ]  |  |  |
| D11 Outstanding Natural Character and High Natural Character Overlay | [ ]  | [ ]  |  |  |
| D12 Waitakere Ranges Heritage Area Overlay | [ ]  | [ ]  |  |  |
| **D13 Notable Trees Overlay** |
| Activity table | [ ]  | [ ]  |  | Tree trimming, alteration or removal Works within protected root zoneDead wood removalCheck if notable tree on neighbouring site as protected root zone may extend over boundary |
| Tree trimming or alteration | [ ]  | [ ]  |  |  |
| Works within protected root zone | [ ]  | [ ]  |  |  |
| **D14 Volcanic Viewshafts and Height Sensitive Areas Overlay** |
| Activity table | [ ]  | [ ]  |  | Buildings that intrude into the viewshaftHeight sensitive areas |
| Building height | [ ]  | [ ]  |  |  |
| Buildings that do not intrude into a viewshaft  | [ ]  | [ ]  |  | Surveyor certificate needed? |
| Buildings on sites that have contiguous boundary with a site with a volcanic feature mapped as an ONF | [ ]  | [ ]  |  |  |
| Temporary structures | [ ]  | [ ]  |  |   |
| **Natural Heritage** |
| D15 Ridgeline Protection Overlay | [ ]  | [ ]  |  |  |
| D16 Local Public Views Overlay | [ ]  | [ ]  |  |  |
| **Built Heritage and Character** |
| **D17** **Historic Heritage Overlay** |
| Activity tables | [ ]  | [ ]  |  | Demolition, modification, restoration, relocation, maintenance, etc.New buildingsSeismic strengthening Subdivision |
| Demolition, destruction or relocation | [ ]  | [ ]  |  |  |
| Maintenance and repair of features | [ ]  | [ ]  |  |  |
| Maintenance and repair of accessory features/ amenities | [ ]  | [ ]  |  |  |
| Pest plant removal, tree works | [ ]  | [ ]  |  |  |
| Modifications to excluded buildings, structures, etc. | [ ]  | [ ]  |  |  |
| Temporary buildings or structures | [ ]  | [ ]  |  |  |
| Signs | [ ]  | [ ]  |  |  |
| Archaeological investigation | [ ]  | [ ]  |  |  |
| **D18 Special Character Areas Overlay – Residential and Business*****(Note – Check which SCAR Overlays are Operative. If a SCAR overlay is removed via the IPI PC 78, that will have immediate legal effect).*** |
| Activity table | [ ]  | [ ]  |  | Restoration and repairMinor alterationsTotal / substantial demolitionExternal alterations or additionsNew / relocated buildings |
| Building height | [ ]  | [ ]  |  |  |
| Height in relation to boundary | [ ]  | [ ]  |  |  |
| Yards | Front | [ ]  | [ ]  |  |  |
| Side | [ ]  | [ ]  |  |  |
| Rear | [ ]  | [ ]  |  |  |
| Building coverage | [ ]  | [ ]  |  |  |
| Landscaped area | [ ]  | [ ]  |  |  |
| Maximum paved area | [ ]  | [ ]  |  |  |
| Fences, walls and other structures | [ ]  | [ ]  |  |  |
| **Built Heritage and Character** |
| D19 Auckland War Memorial Museum Viewshaft Overlay | [ ]  | [ ]  |  |  |
| **Mana Whenua** |
| D21 Sites and Places of Significance to Mana Whenua Overlay | [ ]  | [ ]  |  |  |
| **Built Environment** |
| D22 Identified Growth Corridor Overlay | [ ]  | [ ]  |  |  |
| **Infrastructure**  |
| D23 Airport Approach Surface Overlay | [ ]  | [ ]  |  |  |
| D24 Aircraft Noise Overlay | [ ]  | [ ]  |  |  |
| D25 City Centre Port Noise Overlay | [ ]  | [ ]  |  | Unlikely to be relevant |
| **D26** **National Grid Corridor Overlay** |
| Activity tables | [ ]  | [ ]  |  | ActivitiesDevelopment, buildings and structuresLand disturbance Subdivision |
| Land disturbance with National Grid Yard | [ ]  | [ ]  |  |  |
| Underground network utilities | [ ]  | [ ]  |  |  |
| Fences | [ ]  | [ ]  |  |  |
| Buildings and structures | [ ]  | [ ]  |  |  |
| Accessory buildings | [ ]  | [ ]  |  |  |
| Horticultural structures | [ ]  | [ ]  |  |  |
| Subdivision | [ ]  | [ ]  |  |  |
| **Infrastructure** |
| D27 Quarry Buffer Area Overlay | [ ]  | [ ]  |  |  |
| **Special Information Requirements** |
| List requirement here | [ ]  Provided? | Check relevant provisions, e.g.Heritage impact assessment (D17.9)Details of redevelopment enabled by demolition of heritage (D17.9)Conservation plan (D17.9) |

**CHAPTER E AUCKLAND-WIDE *If a row is not relevant, insert N/A into comments section***

***Expand relevant sections as required. Frequently used sections such as E27 and E36 have already been expanded below.***

| **Auckland-wide checked** | **Complies** | **Infringement** | **Activity Status** | **Comments** |
| --- | --- | --- | --- | --- |
| **Natural Resources** |
| E1 Water quality and integrated management | N/A | N/A | N/A | Contains objectives and policies onlyHave these been assessed in AEE where relevant? |
| E2 Water quantity, allocation and use | N/A | N/A | N/A | Contains objectives and policies onlyHave these been assessed in AEE where relevant? |
| E3 Lakes, rivers, streams and wetlands | [ ]  | [ ]  |  | Activities in, on, under or over the bed of lakes, rivers, streams and wetlands? |
| E4 Other discharges of contaminants | [ ]  | [ ]  |  | Check requirements for disposal of water from swimming pools to be removed/demolished |
| E5 On-site and small scale wastewater treatment and disposal | [ ]  | [ ]  |  |  |
| E6 Wastewater network management | [ ]  | [ ]  |  | Unlikely to be relevant, unless new wastewater network proposed |
| E7 Taking, using, damming and diversion of water and drilling | [ ]  | [ ]  |  | Does the proposal involve deep cuts / retaining walls around 1m and/or close to boundary/neighbouring buildings?Has a geotechnical report been prepared to confirm groundwater levels?Notable standards - E7.6.1.6 & E7.6.1.10Applications should generally include some comment around whether a groundwater permit is required if there is cut on site |
| E8 Stormwater – Discharge and diversion | [ ]  | [ ]  |  | Is the proposal discharging to a combined line?Is soakage proposed where no public line exists in the locality?Do impervious areas on the site exceed 1000m2?If stormwater goes to public stormwater network this section does not apply. |
| E9 Stormwater quality – High contaminant generating car parks and high use roads | [ ]  | [ ]  |  | Is a surface car park of more than 30 spaces proposed? |
| E10 Stormwater management area – Flow 1 and Flow 2 (SMAF) | [ ]  | [ ]  |  | Is the site in a SMAF area on [planning maps](https://unitaryplanmaps.aucklandcouncil.govt.nz/upviewer/)?Have calcs been provided to confirm whether mitigation meets requirements?Check all standards to make sure they are being complied with, or conditions are being offered by applicant to ensure compliance |
| E11 Land disturbance - Regional | [ ]  | [ ]  |  | Erosion and sediment control required even for PAEarthworks greater than 10,000m2?Earthworks greater than 2,500m2 where slope exceeds 10 degrees?Earthworks greater than 2,500m2 within 50m of stream or 100m of coast?Is there a kauri tree on site? If so then PA standards apply – refer to ‘Kauri dieback conditions’ in [Conditions Manual](http://www.aucklanddesignmanual.co.nz/regulations/consent-conditions-manual) for more guidanceRemember, E26 contains the relevant rules for servicing and infrastructure |
| E12 Land disturbance - District | [ ]  | [ ]  |  | Earthworks exceeding 500m2 or 250m3?Earthworks in overlays?Earthworks in riparian or coastal protection yards?Earthworks in flood plain?Earthworks around transmission line poles/towers?This chapter **does not** address sediment and erosion controlRemember, E26 contains the relevant rules for servicing and infrastructure |
| E13 Cleanfills, managed fills and landfills | [ ]  | [ ]  |  | Unlikely to be relevant |
| E14 Air quality | [ ]  | [ ]  |  |  |
| E15 Vegetation management and biodiversity | [ ]  | [ ]  |  | Tree removal/alteration within 10m of a stream?Tree removal/alteration within 20m of a coastal cliff top?Tree removal/alteration within 150m of mean high-water springs?Site subject to SEA, ONF or other overlays?Is there a kauri tree on site? If so then PA standards apply – refer to ‘Kauri dieback conditions’ in [Conditions Manual](http://www.aucklanddesignmanual.co.nz/regulations/consent-conditions-manual) for more guidance Remember, E26 contains the relevant rules for servicing and infrastructure |
| E16 Trees in open space zones | [ ]  | [ ]  |  | Works within protected root zone?Tree trimming, alteration or removal?Have you sought asset owner approval?Remember, E26 contains the relevant rules for servicing and infrastructure |
| E17 Trees in roads | [ ]  | [ ]  |  | Works / new or upgraded vehicle crossing within protected root zone, noting to look within the site also?Tree trimming, alteration or removal?Have you sought asset owner approval?Remember, E26 contains the relevant rules for servicing and infrastructure |
| E18 Natural character of the coastal environment | N/A | N/A | N/A | Contains objectives and policies onlyHave these been assessed in AEE where relevant? |
| E19 Natural features and natural landscapes in the coastal environment | N/A | N/A | N/A | Contains objectives and policies onlyHave these been assessed in AEE where relevant? |
| **Mana Whenua** |
| E20 Maori Land | [ ]  | [ ]  |  | Refer to [Maori Land Online](http://www.maorilandonline.govt.nz/gis/map/search.htm) |
| E21 Treaty Settlement Land  | [ ]  | [ ]  |  | Refer to [GeoMaps](https://geomapspublic.aucklandcouncil.govt.nz/viewer/index.html) |
| **Built Environment** |
| E22 Artworks | N/A | N/A | N/A | Contains objectives and policies onlyHave these been assessed in AEE where relevant? |
| E23 Signs | [ ]  | [ ]  |  | Billboards proposed?Comprehensive development signage proposed? |
| E24 Lighting | [ ]  | [ ]  |  | Lighting within car parking area/driveway proposed?Lighting for a childcare centre etc. proposed? |
| E25 Noise and vibration | [ ]  | [ ]  |  | Is the site on rock and cuts/rock breaking/piling proposed? If yes, then you will need to demonstrate compliance and acoustic report likely required.Construction close to boundaries and other buildings where noise and vibration levels may be exceeded?Childcare centre proposed?Mechanical equipment proposed?  |
| **Infrastructure** |
| E26 Infrastructure | [ ]  | [ ]  |  | Power pole or other infrastructure needs to be moved/replaced?If moved more than 2m, consent is requiredIs new development servicing proposed within protected root zone of street trees? Rules located here. |
| **E27 Transport** If consent required under rules in this chapter, please provide expert comment from a suitably qualified traffic engineer. |
| Trip generation | [ ]  | [ ]  |  |  |
| Number of parking spaces | [ ]  | [ ]  |  |  |
| Number of bicycle spaces | [ ]  | [ ]  |  |  |
| End of trip facilities | [ ]  | [ ]  |  |  |
| Number of loading spaces | [ ]  | [ ]  |  |  |
| Parking space dimensions | [ ]  | [ ]  |  |  |
| Manoeuvring dimensions | [ ]  | [ ]  |  |  |
| Loading space dimensions | [ ]  | [ ]  |  |  |
| Access and manoeuvring | [ ]  | [ ]  |  |  |
| Reverse manoeuvring | [ ]  | [ ]  |  |  |
| Vertical clearance | [ ]  | [ ]  |  |  |
| Parking space gradient | [ ]  | [ ]  |  |  |
| Manoeuvring space gradient | [ ]  | [ ]  |  |  |
| Lighting | [ ]  | [ ]  |  |  |
| Vehicle Access Restriction | [ ]  | [ ]  |  |  |
| Number of vehicle crossings | [ ]  | [ ]  |  |  |
| Vehicle crossing separation distance | [ ]  | [ ]  |  |  |
| Passing bays | [ ]  | [ ]  |  |  |
| Vehicle crossing width | [ ]  | [ ]  |  |  |
| Access width | [ ]  | [ ]  |  |  |
| Access gradient | [ ]  | [ ]  |  |  |
| Level crossing sightlines | [ ]  | [ ]  |  |  |
| Design and location of off-road pedestrian and cycling facilities | [ ]  | [ ]  |  |  |
| **Infrastructure** |
| E28 Mineral extraction from land | N/A | N/A | N/A | Objectives and policies only, not relevant for residential activities |
| E29 Emergency management area – Hazardous facilities and infrastructure | [ ]  | [ ]  |  | Only relevant if site within this area – refer to [planning maps](https://unitaryplanmaps.aucklandcouncil.govt.nz/upviewer/) |
| **Environmental Risk** |
| E30 Contaminated land | [ ]  | [ ]  |  | Earthworks on potential / actual contaminated site?Earthworks adjacent to a contaminated site? |
| E31 Hazardous substances | [ ]  | [ ]  |  | Likely not relevant, however could be if proposal includes storage/use of hazardous substances, e.g. diesel pump for an apartment building. |
| E32 Biosolids | [ ]  | [ ]  |  | Unlikely to be relevant |
| E33 Industrial and trade activities | [ ]  | [ ]  |  | Unlikely to be relevant |
| E34 Agrichemicals and vertebrate toxic agents | [ ]  | [ ]  |  | Unlikely to be relevant |
| E35 Rural production discharges | [ ]  | [ ]  |  | Unlikely to be relevant |
| **E36 Natural hazards and flooding** |
| Activity table | [ ]  | [ ]  |  | Coastal erosionCoastal inundationFlood plainOverland flow path – see [Practice and Guidance Note](http://content.aucklanddesignmanual.co.nz/regulations/practice-notes/Documents/RC%203.2.18%20E36%20Overland%20Flow%20Paths.pdf)Land which may be subject to land instabilityFor all, please refer to [GeoMaps](https://geomapspublic.aucklandcouncil.govt.nz/viewer/index.html) and Chapter J1 definitions |
| Habitable rooms in coastal storm inundation | [ ]  | [ ]  |  |  |
| Fences and walls in flood plain | [ ]  | [ ]  |  |  |
| Storage of goods in flood plain | [ ]  | [ ]  |  |  |
| Surface / above ground parking in flood plain | [ ]  | [ ]  |  |  |
| Private roads in flood plain | [ ]  | [ ]  |  |  |
| New buildings up to 10m2 GFA in flood plain | [ ]  | [ ]  |  |  |
| Fences and walls in OLFP | [ ]  | [ ]  |  |  |
| Buildings and structures on land subject to instability | [ ]  | [ ]  |  |  |
| Drainage infrastructure on land subject to instability | [ ]  | [ ]  |  |  |
| Surface / above ground parking in flood plain not complying with E36.6.1.7 | [ ]  | [ ]  |  |  |
| **Environmental Risk** |
| E37 Genetically modified organisms | [ ]  | [ ]  |  | Unlikely to be relevant |
| **Subdivision** |
| E38 Subdivision - Urban | [ ]  | [ ]  |  | May have already been addressed in earlier section if subdivision proposed, however may be other rules if business / open space zoning also proposed Unit title subdivision? |
| E39 Subdivision - Rural | [ ]  | [ ]  |  | Not relevant for urban zoned sites |
| **Temporary Activities**  |
| E40 Temporary Activities | [ ]  | [ ]  |  | E.g. construction duration, filming, concerts, festivals, parades, sporting events, overflow parking (refer to definition in Chapter J1)Applies to CMA and Land |
| **Special Information Requirements**  |
| List requirement here | [ ]  Provided? | Check relevant Auckland-wide sectionsE.g. Erosion and sediment control (E11.9)Parking plan (E27.9)Hazard risk assessment (E36.9) |

**PRECINCT *If a row is not relevant, insert N/A into comments section.***

***Remember to read general rules C1.6(3)&(4) about relationship between precinct rules and other rules in the AUP(OP)***

| **Precinct Rule/Standard** | **Complies** | **Infringement** | **Activity Status** | **Comments** |
| --- | --- | --- | --- | --- |
|  | [ ]  | [ ]  |  |  |
| **Special Information Requirements** |
| List requirement here | [ ]  Provided? | Check relevant precinct provisions |

**NATIONAL ENVIRONMENTAL STANDARDS (NES) *If NES not relevant, insert N/A into comments section***

| **NES** | **Complies** | **Infringement** | **Activity Status** | **Comments** |
| --- | --- | --- | --- | --- |
| [Air Quality](https://environment.govt.nz/acts-and-regulations/regulations/national-environmental-standards-for-air-quality/) | [ ]  | [ ]  |  |  |
| [Sources of Drinking Water](https://environment.govt.nz/acts-and-regulations/regulations/national-environmental-standard-for-sources-of-human-drinking-water/) | [ ]  | [ ]  |  |  |
| [Telecommunication Facilities](https://environment.govt.nz/acts-and-regulations/regulations/national-environmental-standards-for-telecommunication-facilities/) | [ ]  | [ ]  |  |  |
| [Electricity Transmission Activities](https://environment.govt.nz/acts-and-regulations/regulations/nes-electricity-transmission-activities/#:~:text=The%20NES%20only%20apply%20to,regional%20substations%20to%20electricity%20users.) | [ ]  | [ ]  |  |  |
| [Assessing and Managing Contaminants in Soil to Protect Human Health](https://environment.govt.nz/acts-and-regulations/regulations/national-environmental-standard-for-assessing-and-managing-contaminants-in-soil-to-protect-human-health/) | [ ]  | [ ]  |  | Applicable if [HAIL site](https://environment.govt.nz/publications/hazardous-activities-and-industries-list-hail/)If so, engage a specialist to prepare a contamination report |
| [Plantation Forestry](https://environment.govt.nz/acts-and-regulations/regulations/national-environmental-standards-for-plantation-forestry/) | [ ]  | [ ]  |  |  |
| [Storing Tyres Outdoors](https://environment.govt.nz/acts-and-regulations/regulations/nes-storing-tyres-outdoors/) | [ ]  | [ ]  |  |  |
| [Marine Aquaculture](https://environment.govt.nz/acts-and-regulations/regulations/national-environmental-standard-for-marine-aquaculture/) | [ ]  | [ ]  |  |  |
| [Freshwater](https://environment.govt.nz/acts-and-regulations/regulations/national-environmental-standards-for-freshwater/) | [ ]  | [ ]  |  |  |

**OVERALL ACTIVITY STATUS *Add / amend / delete rows for different consent types as required***

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Land Use Status** | [ ]  Permitted | [ ]  Controlled | [ ]  Restricted discretionary | [ ]  Discretionary | [ ]  Non complying |
| **Subdivision Status** | [ ]  Permitted | [ ]  Controlled | [ ]  Restricted discretionary | [ ]  Discretionary | [ ]  Non complying |
| **Overall Status** | [ ]  Permitted | [ ]  Controlled | [ ]  Restricted discretionary | [ ]  Discretionary | [ ]  Non complying |

**COMMENTS / NOTES**

|  |
| --- |
| S88 matters, calculations/working etc.Any relevant consenting history on the site? Does the proposal impact on this? Any relevant conditions (number of car parks, vegetation, etc.)?Relevant application and consenting background can be located here |

|  |  |
| --- | --- |
| **Agent signature to confirm accurate checklist completion** | Date: XX/XX/20XX |

**USEFUL LINKS**

|  |  |
| --- | --- |
| [Resource Management Act 1991](http://www.legislation.govt.nz/act/public/1991/0069/latest/DLM230265.html) | [Auckland Unitary Plan Modifications / Plan Changes](https://www.aucklandcouncil.govt.nz/plans-projects-policies-reports-bylaws/our-plans-strategies/unitary-plan/auckland-unitary-plan-modifications/Pages/default.aspx) |
| [Auckland Unitary Plan Maps](https://unitaryplanmaps.aucklandcouncil.govt.nz/upviewer/) | Practice and [Guidance](http://www.aucklanddesignmanual.co.nz/regulations/practice-notes) Notes |
| [Auckland Unitary Plan Text](https://unitaryplan.aucklandcouncil.govt.nz/pages/plan/Book.aspx?exhibit=AucklandUnitaryPlan_Print) | [Conditions Manual](http://www.aucklanddesignmanual.co.nz/regulations/consent-conditions-manual) |
| [GeoMaps](https://www.aucklandcouncil.govt.nz/geospatial/geomaps/Pages/default.aspx) | [Chapter J1 Definitions](https://unitaryplan.aucklandcouncil.govt.nz/Images/Auckland%20Unitary%20Plan%20Operative/Chapter%20J%20Definitions/Chapter%20J%20-%20Definitions.pdf) |

For questions regarding this checklist, please email:

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